



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Barbas III et al.**

Serial No.: **09/586,625**

Filed: **June 2, 2000**

For: **LIGAND ACTIVATED TRANSCRIPTIONAL
REGULATOR PROTEINS**

Art Unit: **1645**

Examiner: **Unassigned**

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents
Washington, D.C. 20231, on this date.

05/07/01
Date

Kelly Fischer
Kelly Fischer

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN
ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

This Supplemental Information Disclosure Statement is filed pursuant to 37 C.F.R. §1.97(c) and includes a certification in accordance with 37 C.F.R. §1.97(e). The certification applies to all references listed on Form PTO-1449 (1 page), provided herewith. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98.

The enclosed references were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement. Form PTO-1449 (1 page), copies of the cited documents, and a copy of the communication, the International Search Report for the international application PCT/EP 00/10430,

U.S.S.N 09/586,625

Barbás III et al.

Supplemental IDS

are provided herewith and are in the English language. No item of information contained in this Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, nor to the knowledge of the applicant's representative, after making reasonable inquiry, was any item of information contained in this Form PTO-1449 known to any individual designated in §1.56(c) more than three months prior to the filing of this statement. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

* * *

Respectfully submitted,
HELLER EHRLMAN WHITE & McAULIFFE LLP

By:


Stephanie Seidman
Registration No. 33, 779

Attorney Docket 22908-1227B

Address all correspondence to:

HELLER EHRLMAN WHITE & McAULIFFE LLP

4350 La Jolla Village Drive, 6th Floor

San Diego, CA 92122-1246

Telephone: 858 450-8400

Facsimile: 858 587-5360

email:sseidman@HEWM.com



47/52
5-14-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Barbas III et al.**

Serial No.: 09/586,625

Filed: June 2, 2000

For: **LIGAND ACTIVATED
TRANSCRIPTIONAL REGULATOR
PROTEINS**

Art Unit: 1645

Examiner: Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents
Washington, D.C. 20231, on this date.

05/07/01

Date

Kelly Fischer
Kelly Fischer

**STATEMENT FOR SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 C.F.R. § 1.97(e)**

RECEIVED

MAY 14 2001

TECH CENTER 1600/2900

Dear Sir:

1) This Statement is being made for the Supplemental Information Disclosure Statement accompanying this Statement.

2) I, Stephanie Seidman, certify that each item of information contained in the accompanying Supplemental Information Disclosure Statement was cited in a communication dated February 6, 2001, from the International Searching Authority in connection with PCT International Patent Application No. PCT/EP 00/10430, which claims priority to U.S. Application Serial No. 09/586,625. Thus, each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the attached Supplemental Information Disclosure Statement.

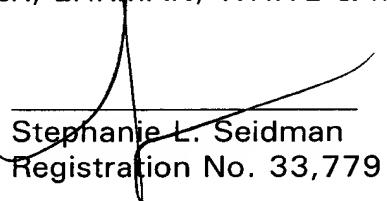
U.S.S.N 09/586,625
Barbas III et al.
STATEMENT

3) The person making this Statement is an attorney of record in the above-captioned application and signs below on the basis of information in the attorney's file.

* * *

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE

By:


Stephanie L. Seidman
Registration No. 33,779

Attorney Docket 22908-1227B
Address all correspondence to:
HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 6th Floor
San Diego, CA 92122-1246
Telephone: 858 450-8400
Facsimile: 858 587-5360
email:sseidman@HEWM.com



1645

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: **Barbas III et al.**

Serial No.: 09/586,625

Filed: June 2, 2000

For: **LIGAND ACTIVATED
TRANSCRIPTIONAL REGULATOR
PROTEINS**

Art Unit: 1645

Examiner: Unassigned

I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents
Washington, D.C. 20231, on this date.

05/07/01

Date

Kelly Fischer
Kelly Fischer

TRANSMITTAL LETTER

MAY 14 2001

Commissioner for Patents
Washington, D.C. 20231

TECH CENTER 1600/2900

Sir:

Transmitted herewith are an Supplemental Information Disclosure Statement, certification statement, PTO-Form 1449 (1 page), cited references and an address change request for filing in connection with the above-identified application. Should it be determined that a fee is due, the Commissioner is authorized to charge deposit account No. 50-1213, for the appropriate fee as stated below:

The Commissioner is hereby authorized to charge any fee, including any submitted herewith that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

* * *

Respectfully submitted,
HELLER, EHRMAN, WHITE & McAULIFFE

By:

Stephanie L. Seidman
Stephanie L. Seidman
Registration No. 33,779

Attorney Docket 22908-1227B

Address all correspondence to:

HELLER EHRMAN WHITE & McAULIFFE LLP
4350 La Jolla Village Drive, 6th Floor
San Diego, CA 92122-1246
Telephone: 858 450-8400
Facsimile: 858 587-5360
email:sseidman@HEWM.com